

FAX TRANSMISSION

DATE: February 28, 2007

PTO IDENTIFIER: Application Number 10/524,079-Conf. #4214

Patent Number

Inventor: Nobuo KIMIZUKA et al.

MESSAGE TO: Attn: Donna Green, MS PCT, Commissioner for Patents, US Patent and Trademark Office

FAX NUMBER: (571) 273-3201

FROM: LAHIVE & COCKFIELD, LLP

Anthony A. Laurentano

PHONE: (617) 227-7400

Attorney Dkt. #: TAW-012US

PAGES (Including Cover Sheet): __14_

CONTENTS: Second Request for Corrected Filing Receipt (2 pages)

Copy of Corrected Filing Receipt with correction noted thereon (3 pages)

Courtesy Copy of First Preliminary Amendment (7 pages)

Certificate of Transmission (1 page)

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One Post Office Square, Boston, Massachusetts 02109-2127 Telephone: (617) 227-7400 Facsimile: (617) 742-4214 I hereby certify that this paper (along with any paper referred to as being etteched or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, receiptile no. (571) 273-3201 to Donna Green, MS PCT at Commissioner for Patents. P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: February 22, 2007 Fignature:

Throng burner land

Docket No.: TAW-012US

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Nobuo Kimizuka et al.

Application No.: 10/524,079

Confirmation No.: 4214

Filed: October 31, 2005

Art Unit: 1756

For: MOLECULAR-ORIENTED POLYMER GEL AND

CAST FILM WITH SELF-ORGANIZABLE AMPHIPHILIC COMPOUND AS TEMPLATE, AND THEIR PRODUCTION METHODS Examiner: S. C. Wu

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Attn: Donna Green, MS PCT

Dear Sir:

Applicant hereby requests that a second Corrected Filing Receipt be issued in the above-identified patent application. The Corrected Filing Receipt received by Applicant, in response to a Request for Correction in title, filed on October 5, 2006, a copy of which is attached hereto, has a data entry error in the title. The word *templated* should be **template**. Please remove the ending d. The title should read as follows:

MOLECULAR-ORIENTED POLYMER GEL AND CAST FILM WITH SELF-ORGANIZABLE AMPHIPHILIC COMPOUND AS TEMPLATE, AND THEIR PRODUCTION METHODS

Enclosed is a courtesy copy of the First Preliminary Amendment filed with the '371 application on February 5, 2005.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Application No.: 10/524,079

Docket No.: TAW-012US

Dated: February 28, 2007

Respectfully submitted,

Anthony A. Laurentano

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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMENCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS Vinghia 22313-1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	' ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/524 079	10/31/2005	1756	1480	* TAMONTOUS	7	21	5

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CONFIRMATION NO. 4214 CORRECTED FILING RECEIPT "OC000000021883621"

Date Mailed: 01/12/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Nobuc Kimizuka, Fukuoka-shi, JAPAN; Kazuhiro Kagawa, Wako-shi, JAPAN; Takuya Nakashima, Ikoma-shi, JAPAN;

Assignment For Published Patent Application

Honda Giken Kogyo Kabushiki Kalsha, Tokyo, JAPAN Nobuo Kimizuka, Fukuoka-shi, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 00959.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/10068 08/07/2003

Foreign Applications

JAPAN 2002-231958 08/08/2002 JAPAN 2003-013943 01/22/2003

If Required, Foreign Filing License Granted: 01/12/2007

The country code and number of your priority application, to be used for filing abyond here. Convention, is **US10/524,079**

Projected Publication Date: Not Applicable

DOCKET DEPT. JAN 1 8 2007

RETRIEVED: PORWARDED:

Non-Publication Request: No

Early Publication Request: No

Title

Molecular oriented polymer gel and cast film with self-organizable amphiphilic compound as templated, and their production methods

Preliminary Class

252

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Dated: 8 February 2005

Signature:

OPYou

ocket No.: TAW-012US

IN THE UNITED STATES PATENT OFFICE AS DESIGNATED OFFICE (DO/US)

In re Patent Application of: Nobuo Kimizuka et al.

International Application No.: PCT/JP2003/010068

International Filing Date: 7 August 2003

Application No.: NEW APPLICATION

Art Unit: N/A

Filed: Concurrently Herewith

Examiner: Not Yet Assigned

For: MOLECULAR-ORIENTED POLYMER GEL

AND CAST FILM WITH SELF-

ORGANIZABLE AMPHIPHILIC COMPOUND AS TEMPLATE, AND THEIR PRODUCTION

METHODS (as amended)

FIRST PRELIMINARY AMENDMENT

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks/Arguments begin on page 7 of this paper.

Application No.: NEW APPLICATION

Docket No.: TAW-012US

AMENDMENTS TO THE SPECIFICATION

In addition to the Article 34 Amendments submitted by the Applicants during the prosecution of the corresponding international patent application, we further amend the specification as follows:

In the Specification:

Please amend the title as follows:

MOLECULE ALIGNMENT POLYMER GEL AND MOLECULE ALIGNMENT
POLYMER CAST FILM HAVING SELF-ORGANIZING AMPHIPHILIC COMPOUND AS
TEMPLATE AND PROCESS FOR PRODUCING THE SAME

MOLECULAR ORIENTED POLYMER GEL AND CAST FILM WITH SELF-ORGANIZABLE AMPHIPHILIC COMPOUND AS TEMPLATE, AND THEIR PRODUCTION METHODS

Page 1, line 2, after the title, please insert the following new paragraph:

Related Applications

This application is a 35 U.S.C. 371 national stage filing of International Application No. PCT/JP2003/010068, filed 7 August 2003, which claims priority to Japanese Patent Application No. 2002-231958 filed on 8 August 2002 and Japanese Patent Application No. 2003-013943 filed 22 January 2003 in Japan. The contents of the aforementioned applications are hereby incorporated by reference.

Docket No.: TAW-012US

Application No.: NEW APPLICATION

AMENDMENTS TO THE CLAIMS

In addition to the Article 34 Amendments submitted by the Applicants during the prosecution of the corresponding international patent application, we further amend the claims as follows:

- 1. (previously presented) A molecular-oriented polymer gel obtained by self-assembly of a self-organizable amphiphilic compound and a monomer interacting with said amphiphilic compound, and then polymerizing said monomer, said monomer being thiophene and/or its derivative, pyrrole and/or its derivative, or 2-acrylamide-2-methylpropanesulfonic acid.
- 2. (original) The molecular-oriented polymer gel according to claim 1, wherein said amphiphilic compound is a cation comprising a linear or branched alkyl group having 20 or less carbon atoms.
- 3. (previously presented) A molecular-oriented polymer gel obtained by self-assembly of a self-organizable amphiphilic compound and a monomer interacting with said amphiphilic compound, and then polymerizing said monomer, said amphiphilic compound being represented by the following general formula (I):

$$R_1 - N$$
 OH ... (I),

wherein R₁ and R₂ represent linear or branched alkyl groups having 20 or less carbon atoms, which may be the same or different.

4. (previously presented) The molecular-oriented polymer gel according to claim 3, wherein said monomer is thiophene and/or its derivative, pyrrole and/or its derivative, or 2-acrylamide-2-methylpropanesulfonic acid, or another anionic monomer than said thiophene derivative and said pyrrole derivative.

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5. (original) The molecular-oriented polymer gel according to claim 4, wherein said anionic monomer comprises a sulfonic group.

- 6. (original) The molecular-oriented polymer gel according to claim 5, wherein said anionic monomer is 2-acrylamide-2-methylpropanesulfonic acid.
- 7. (currently amended) The molecular-oriented polymer gel according to claim 2 any one of elaims 2 to 6, wherein the linear or branched alkyl group of said amphiphilic compound has 10 or less carbon atoms.
- 8. (Canceled)
- 9. (currently amended) The molecular-oriented polymer gel according to <u>claim 4 any one of claims 1, 2, 4 and 7</u>, wherein said thiophene derivative is at least one selected from the group consisting of 3-thiophenearboxylic acid, 3-thiophene ethanol, 3,4-ethylenedioxythiophene and bis(thiophene), and wherein said pyrrole derivative is 3-pyrrolecarboxylic acid or 3-pyrroleacetic acid.
- 10. (previously presented) A molecular-oriented polymer cast film obtained by casting a solution of a self-organizable amphiphilic compound and a monomer interacting with said amphiphilic compound, and then polymerizing said monomer, said monomer being thiophene and/or its derivative, pyrrole and/or its derivative, or 2-acrylamide-2-methylpropanesulfonic acid.
- 11. (original) A molecular-oriented polymer cast film obtained by casting a solution of a self-organizable amphiphilic compound on an electrode, and then supplying current to said electrode in a solution containing a monomer which is thiophene and/or its derivative, or a monomer which is pyrrole and/or its derivative, to electrolytically polymerize said monomer.
- 12. (currently amended) The molecular-oriented polymer cast film according to claim 10 or 11, wherein said amphiphilic compound is a cation comprising a linear or branched alkyl group having 20 or less carbon atoms.

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Docket No.: TAW-012US

13. (previously presented) A molecular-oriented polymer cast film obtained by casting a solution of a self-organizable amphiphilic compound and a monomer interacting with said amphiphilic compound, and then polymerizing said monomer, said amphiphilic compound being represented by the following general formula (I):

$$R_1-N$$
 $N+$ OH ... (I)

wherein R₁ and R₂ represent linear or branched alkyl groups having 20 or less carbon atoms, which may be the same or different.

14. (original) The molecular-oriented polymer cast film according to claim 12, wherein said cation is represented by the following general formula (II):

wherein R₃ and R₄ represent linear or branched alkyl groups having 20 or less carbon atoms, which may be the same or different, and n is an integer of 2 to 12.

- 15. (previously presented) The molecular-oriented polymer cast film according to claim 13, wherein said monomer is thiophene and/or its derivative, pyrrole and/or its derivative, or another anionic monomer than said thiophene derivative and said pyrrole derivative.
- 16. (previously presented) The molecular-oriented polymer cast film according to claim 15, wherein said anionic monomer other than said thiophene derivative and pyrrole derivative is 2-acrylamide-2-methylpropanesulfonic acid.

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Application No.: NEW APPLICATION

17. (currently amended) The molecular-oriented polymer cast film according to <u>claim 15eny</u> ene of claims 10 to 12, 14 end 15, wherein said thiophene derivative is at least one selected from the group consisting of 3-thiophenearboxylic acid, 3-thiophenectic acid, 3-thiophene ethanol, 3,4-ethylenedioxythiophene and bis(thiophene), and wherein said pyrrole derivative is 3-pyrrolecarboxylic acid or 3-pyrroleacetic acid.

- 18. (currently amended) A method for producing the molecular-oriented polymer gel recited in claim lany one of claims 1 to 7 and 9, comprising the steps of mixing said amphiphilic compound and said monomer to self-organize them, and then polymerizing said monomer.
- 19. (original) The method for producing a molecular-oriented polymer gel according to claim 18, wherein the polymerization reaction of said monomer is carried out at a temperature lower than a phase transition temperature of a self-organized-to-molecular-level body of said amphiphilic compound and said monomer.
- 20. (currently amended) A method for producing the molecular-oriented polymer cast film recited in <u>claim 10 any one of claims 10 to 17</u>, comprising the steps of preparing a solution of said amphiphilic compound and said monomer, casting said solution, and then polymerizing said monomer.
- 21. (currently amended) A method for producing the molecular-oriented polymer cast film recited in <u>claim 10 any one of claims 10 to 17</u>, comprising the steps of preparing a solution of said amphiphilic compound, casting said solution on an electrode, dried said solution to form a film of said amphiphilic compound, immersing said film in a solution comprising said monomer, and supplying current to said electrode to electrolytically polymerize said monomer.
- 22. (currently amended) The method for producing a molecular-oriented polymer cast film according to claim 20-or 21, wherein the polymerization reaction of said monomer is carried out at a temperature lower than a phase transition temperature of a self-organized-to-molecular-level body of said amphiphilic compound and said monomer.

Application No.: NEW APPLICATION

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REMARKS

Preliminary to examination of this application, please amend the specification and amend claims 7, 9, 12, 17, 18, and 20-22 as set forth above. Applicants amend the claims to remove multiple dependencies, to provide proper antecedent basis, and to address other matters of form. The foregoing amendments are not related to issues of patentability. Support for the amendments to the claims can be found throughout the specification, Figures and claims as originally filed.

Applicant respectfully submits that the foregoing amendments introduce no new matter. Entry of the foregoing Preliminary Amendment is in order and requested.

If there are any questions regarding the proposed amendments to the application, we invite the Examiner to call Applicants' representative at the telephone number below.

Applicant believes no fee is due with this statement. However, if a fee is due, please charge our Deposit Account No. 12-0080, under Order No. TAW-012US from which the undersigned is authorized to draw

Dated: 8 February 2005

Respectfully submitted,

Anthony A. Laurentano

Registration 16.: 38,220

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Attorney/Agent For Applicant

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February 28, 2007 Date

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